

# Neutrino Mass, the Strong CP Problem, and Baryon Number Violation

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# Canonical Seesaw Origin of Neutrino Mass

$$\mathcal{L}_N = f_{ij}(\nu_i\phi^0 - l_i\phi^+)N_j^c - m_{N_i}N_i^cN_i^c/2 + H.c.$$
$$\Rightarrow (\mathcal{M}_\nu)_{ij} = -f_{ik}f_{jk}\langle\phi^0\rangle^2/m_{N_k}.$$

Without  $m_N$ ,  $\nu$  has  $L = +1$  and  $N^c$  has  $L = -1$ . With  $m_N$ ,  $L \rightarrow (-)^L$ . Below the scale of  $m_N$ , all particle interactions conserve **additive  $L$**  except for rare processes involving the effective exchange of  $N^c$  such as neutrinoless double beta decay. This allows a **lepton asymmetry** to be established from the decay of  $N^c$ .

# Axionic Solutions of the Strong CP Problem

The strong CP violating parameter

$$\bar{\theta} = \theta_{QCD} - \sum_q \text{Arg } m_q - 3 \text{Arg } m_{\tilde{g}}$$

is relaxed to zero if there is a dynamical phase attached to the ordinary quarks (**DFSZ**) or to unknown singlet heavy quarks (**KSVZ**) or to the gluinos (**DM**).

This is accomplished by the spontaneous breaking of  $U(1)_{PQ}$ , resulting in a singlet (or mostly singlet) **axion** at a very high scale:  $10^9 < f_a < 10^{12}$  GeV, to be consistent with constraints from astrophysics and cosmology.

# Supersymmetric Realization of

$$m_N \sim f_a$$

superfield	$U(1)_{PQ}$	$(-)^L$
$Q = (u, d), u^c, d^c$	1/2	+
$L = (\nu, e), e^c, N^c$	1/2	-
$\Phi_1 = (\phi_1^0, \phi_1^-), \Phi_2 = (\phi_2^+, \phi_2^0)$	-1	+
$S_2, S_1, S_0$	2, -1, -2	+

$$W = m_0 S_0 S_2 + \lambda_1 S_1 S_1 S_2 + \lambda_2 S_1 N^c N^c + f_1 S_2 \Phi_1 \Phi_2 + f_d \Phi_1 Q d^c + f_u \Phi_2 Q u^c + f_e \Phi_1 L e^c + f_N \Phi_2 L N^c.$$

Two scales  $m_0$  and  $M_{SUSY}$  are available. The usual  $\mu$  term of the MSSM is replaced by  $f_1 \langle S_2 \rangle$  and  $m_N = 2\lambda_2 \langle S_1 \rangle$ . This means that  $\langle S_2 \rangle \ll \langle S_1 \rangle$  is required. Also, supersymmetry must remain exact after the breaking of  $U(1)_{PQ}$  at  $f_a$ .

$$V(S_{2,1,0}) = m_0^2 |S_2|^2 + 4\lambda_1^2 |S_1|^2 |S_2|^2 + |m_0 S_0 + \lambda_1 S_1^2|^2$$

$V = 0$  (to preserve supersymmetry)  $\Rightarrow$

(A)  $u_0 = u_1 = u_2 = 0$ , or (B)  $u_2 = 0$ ,  $m_0 u_0 + \lambda_1 u_1^2 = 0$ .

Choose (B) and shift  $S_{2,1,0}$  by  $u_{2,1,0}$ , then

$$W' = \frac{m_0}{u_1} (u_1 S_0 - 2u_0 S_1) S_2 + \lambda_1 S_1 S_1 S_2.$$

Hence  $\chi = (u_1 S_1 + 2u_0 S_0) / \sqrt{u_1^2 + 4u_0^2}$  is a massless superfield, which comes from the supersymmetric vacuum being invariant under both a phase rotation and a scale transformation, i.e. a flat direction.

Add  $V_{soft} = \mu_0^2 |S_0|^2 + \mu_1^2 |S_1|^2 + \mu_2^2 |S_2|^2 + [\mu_{02} m_0 S_0 S_2 + \mu_{12} S_1^2 S_2 + H.c.]$ , then

$$(m_0^2 + \mu_2^2 + 4\lambda_1^2 u_1^2) u_2 + \mu_{02} m_0 u_0 + \mu_{12} u_1^2 = 0,$$

$$2\lambda_1 (m_0 u_0 + \lambda_1 u_1^2) + \mu_1^2 + 2\mu_{12} u_2 + 4\lambda_1^2 u_2^2 = 0,$$

$$m_0 (m_0 u_0 + \lambda_1 u_1^2) + \mu_0^2 u_0 + \mu_{02} m_0 u_2 = 0.$$

$$\Rightarrow u_2 \sim M_{SUSY}, u_{0,1} \sim m_0 \text{ with } m_0 u_0 + \lambda_1 u_1^2 \sim M_{SUSY}^2.$$

**axion**  $a = (u_1\theta_1 + 2u_0\theta_0 - 2u_2\theta_2) / \sqrt{u_1^2 + 4u_0^2 + 4u_2^2}$ .

**axino**  $\tilde{a}$  has mass  $6\lambda_1 u_2 u_1^2 / (u_1^2 + 4u_0^2 + 4u_2^2)$ .

**saxion** has mass  $\sim M_{SUSY}$ .

The  $\mu$  term of the MSSM is replaced by  $f_1 u_2 \Phi_1 \Phi_2$ .

The  $\mu B$  term in the scalar sector is given by

$$f_1 [A_2 u_2 + (m_0 u_0 + \lambda_1 u_1^2)] \sim M_{SUSY}^2.$$

Electroweak symmetry breaking is thus predicted to be  $\sim M_{SUSY}$  in this scenario.  $\langle \phi_{1,2}^0 \rangle = v_{1,2}$  means that the axion picks up a small component

$$\frac{2v_1 v_2}{v_1^2 + v_2^2} (v_1 \varphi_2 + v_2 \varphi_1).$$

Hence the axionic coupling to quarks is given by

$$\frac{\partial_\mu a}{2V} \left( \frac{2v_1 v_2}{v_1^2 + v_2^2} \right) \left[ \frac{v_1}{v_2} \bar{u} \gamma^\mu \gamma_5 u + \frac{v_2}{v_1} \bar{d} \gamma^\mu \gamma_5 d \right]$$

$$= V^{-1} (\partial_\mu a) [\sin^2 \beta \bar{u} \gamma^\mu \gamma_5 u + \cos^2 \beta \bar{d} \gamma^\mu \gamma_5 d],$$

where  $V = [u_1^2 + 4u_0^2 + 4u_2^2 + 4v_1^2 v_2^2 / (v_1^2 + v_2^2)]^{1/2}$   
and  $\tan \beta = v_1 / v_2$  as in the **DFSZ** model.

**Axino** mixes with neutralinos and **saxion** with Higgs scalars, but only by  $M_{SUSY} / f_a$ . Since  $R$  parity remains exact, **dark matter** = the lightest neutralino (**axino**) + **axion**.

## Implementation of $B \rightarrow (-)^{3B}$

Suppose the analog of  $N^c$  exists for baryon number, i.e.  $\Sigma$  with  $B = 1$ , as well as the effective interaction  $u_i^c d_j^c d_k^c \Sigma$ . Assuming that  $\Sigma$  is allowed a large Majorana mass  $m_\Sigma$ , then  $B \rightarrow (-)^{3B}$  as desired.

To implement this in a renormalizable theory, the simplest way is to introduce singlet scalar quark fields  $\tilde{h}, \tilde{h}^c$  with charges  $\mp 1/3$  and  $B = \mp 2/3$ , so that  $ud\tilde{h}$ ,  $u^c d^c \tilde{h}^c$ , and  $d^c \tilde{h} \Sigma$  are allowed. Deuteron decay and neutron-antineutron oscillations are now possible, but the proton is stable because  $(-)^{3B}$  is conserved.

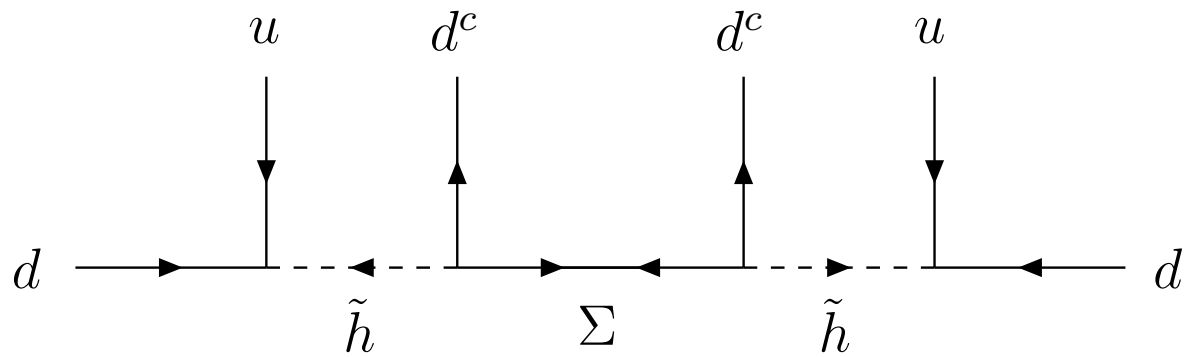


Figure 1: Diagram for deuteron decay and  $n - \bar{n}$  oscillation.

The same mechanism may also be responsible for **baryogenesis**. The decay of the lightest  $\Sigma$  into  $udd$  or  $u^c d^c d^c$  generates a  $B$  asymmetry, and below the  $m_\Sigma$  scale, **additive  $B$**  is conserved. This  $B$  asymmetry is then converted into a  $B - L$  asymmetry through the intervention of the sphalerons during the electroweak phase transition. If  $\tilde{h}$  or  $\tilde{h}^c$  is kinematically accessible at the LHC, it will be copiously produced and decay into two quark jets, but in this scenario, the mass scales of  $\tilde{h}$ ,  $\tilde{h}^c$ , and  $\Sigma$  are not separately constrained. There is no guarantee that  $\tilde{h}$  or  $\tilde{h}^c$  is of order TeV.

# Common Origin of $(-)^L$ , $(-)^{3B}$ , and **Strong CP Conservation**

Combining  $L \rightarrow (-)^L$ ,  $B \rightarrow (-)^{3B}$  and  $U(1)_{PQ}$ , a supersymmetric model may be constructed.

superfield	$(-)^L$	$(-)^{3B}$	$U(1)_{PQ}$
$Q, u^c, d^c, \Sigma$	+	-	1/2
$L, e^c, N^c$	-	+	1/2
$\Phi_1, \Phi_2$	+	+	-1
$h, h^c$	+	+	-1
$S_2, S_1, S_0$	+	+	2, -1, -2

There are again only two mass scales:  $m_0$  and  $M_{SUSY}$ , with  $m_N \sim m_\Sigma \sim m_0$  and  $m_h \sim M_{SUSY}$ . In the early Universe,  $N^c$  ( $\Sigma$ ) generates a  $L$  ( $B$ ) asymmetry. Below  $m_N \sim m_\Sigma$ , both additive  $L$  and additive  $B$  are good. Sphalerons then convert both asymmetries into a  $B - L$  asymmetry. [The  $L\Phi_2 N^c$  couplings are constrained by neutrino mass, but the  $d^c h \Sigma$  couplings are free.]

**Gauge coupling unification** is easily restored by the addition of  $\Phi_3, \Phi_4$ , which couple for example only to leptons whereas  $\Phi_1, \Phi_2$  couple only to quarks. This would predict a much richer Higgs sector at the LHC.

- Strong CP problem is solved with a DFSZ axion in supersymmetry, with  $\mu \sim M_{SUSY}$  and  $\mu B \sim M_{SUSY}^2$ .
- Seesaw neutrino mass is obtained with  $m_N \sim f_a$ .
- $R$  parity is conserved  $\Rightarrow$  neutralino (axino) could be dark matter as well as the axion.
- $B \rightarrow (-)^{3B}$  with  $\Sigma, h, h^c$ :  $m_\Sigma \sim f_a, m_h \sim M_{SUSY}$ .
- Separate  $L$  and  $B$  asymmetries  $\rightarrow B - L$  asymmetry.
- New particles OK with gauge coupling unification.